

# Preauthorization Requirements\* (as of January 1, 2016)

| OFFICE VISITS   | Authorization Requirement   |
|---|---|
| Primary Care Office Visits  | No Preauthorization Required  |
| Primary Care Home Visits  |   |
| Specialist Office Visits  |   |
| Specialist Home Visits  |   |
| PREVENTIVE CARE   | Authorization Requirement   |
| Well Child Visits and Immunizations   | No Preauthorization Required  |
| Adult Annual Physical Examinations  |   |
| Adult Immunizations   |   |
| Routine Gynecological Services/Well Woman Exams   |   |
| Mammography Screenings**  |   |
| Sterilization Procedures for Women  |   |
| Vasectomy   |   |
| Bone Density Testing  |   |
| Screening for Prostate Cancer   |   |
| Screening for Colon Cancer**  |   |
| All other preventive services with an A or B rating in the current recommendations of the U.S. Preventive Services Task Force and preventive care and screenings for women and children provided for in the Health Resources and Service Administration guidelines. | **Note that any test considered diagnostic (for example diagnostic mammography or colonoscopy) does not meet the preventive guidelines. Also note that age, frequency and other limits may apply. |
| EMERGENCY CARE  | Authorization Requirement   |
| Emergency Room Services   | No Preauthorization Required  |
| Urgent Care Center  |   |
| Emergency Ambulance Services  |   |
| Non-Emergency Ambulance Services  | Preauthorization Required   |
| PROFESSIONAL SERVICES AND OUTPATIENT CARE   | Authorization Requirement   |
| Advanced Imaging Services<br>(MRI, MRA, CT, CT Angiogram, MEG, EEG, PET)  | Preauthorization Required   |
| <ul style="list-style-type: none"> <li>Performed at a Freestanding Radiology Facility or Office Setting</li> </ul>  |   |
| <ul style="list-style-type: none"> <li>Performed as an Outpatient Hospital service</li> </ul>   |   |

\*This document is for informational purposes only. Please refer to your Certificate or Policy, or call Customer Service at 855-706-7545 to confirm that this is the most up to date and complete information.

# Preauthorization Requirements\* (as of January 1, 2016)

| PROFESSIONAL SERVICES AND OUTPATIENT CARE (continued)                                       | Authorization Requirement  |
|---|--|
| <b>Allergy Testing &amp; Treatment</b>  |  |
| • Evaluation and testing (initial visit)  | No Preauthorization Required   |
| • Ongoing Treatment   | No Preauthorization Required   |
| Outpatient Surgical Procedures (Ambulatory Surgery Center)                                  | Preauthorization Required  |
| Autologous Blood Banking  | No Preauthorization Required   |
| <b>Cardiac &amp; Pulmonary Rehabilitation</b>   |  |
| • Performed in a Specialist Office  | Preauthorization Required  |
| • Performed as an Outpatient Hospital service   |  |
| • Performed as an Inpatient Hospital service  |  |
| <b>Chemotherapy</b>   |  |
| • Performed at a PCP Office   | No Preauthorization Required   |
| • Performed at a Specialist Office  |  |
| • Performed at an Outpatient Infusion Center  |  |
| • Performed at an Outpatient Hospital   | Preauthorization Required  |
| <b>Chiropractic Services</b>  |  |
| • Evaluation and Testing (initial visit)  | No Preauthorization Required   |
| • Treatment   | Preauthorization Required  |
| <b>Diagnostic Procedures</b>  |  |
| • Performed at a PCP Office   | No Preauthorization Required<br>(if procedure includes sedation or anesthesia a prior authorization is required) |
| • Performed at a Specialist Office  |  |
| • Performed at an Outpatient Hospital   | Preauthorization Required  |
| <b>Dialysis</b>   |  |
| • Performed at a PCP Office   | No Preauthorization Required   |
| • Performed at a Freestanding Center or Specialist Office Setting                           |  |
| • Performed at an Outpatient Hospital   | Preauthorization Required  |
| <b>Habilitation Services<br/>(Physical Therapy, Occupational Therapy or Speech Therapy)</b> |  |
| • Evaluation and Testing  | No Preauthorization Required   |
| • Treatment   | Preauthorization Required  |
| Home Health Care (SN, PT, OT, HHA, and ST)  |  |

# Preauthorization Requirements\* (as of January 1, 2016)

| PROFESSIONAL SERVICES AND OUTPATIENT CARE (continued)  | Authorization Requirement  |
|--|--|
| Infertility Services   | Preauthorization Required  |
| Infusion Therapy   |  |
| <ul style="list-style-type: none"> <li>Performed at a PCP Office</li> </ul>  | Preauthorization Required  |
| <ul style="list-style-type: none"> <li>Performed at Specialist Office</li> </ul>   |  |
| <ul style="list-style-type: none"> <li>Performed at an Infusion Center</li> </ul>  |  |
| <ul style="list-style-type: none"> <li>Performed as an Outpatient Hospital service</li> </ul>  |  |
| <ul style="list-style-type: none"> <li>Home Infusion Therapy</li> </ul>  |  |
| Routine Laboratory Procedures*<br>(all lab services need to go through Core Labs)  |  |
| <ul style="list-style-type: none"> <li>Performed at a PCP Office</li> </ul>  | No Preauthorization Required   |
| <ul style="list-style-type: none"> <li>Performed in a Freestanding Laboratory Facility or Specialist Office</li> </ul>                         | *some genetic tests may require an authorization   |
| <ul style="list-style-type: none"> <li>Performed at an Outpatient Hospital</li> </ul>  | Preauthorization Required  |
| Obstetrics   |  |
| <ul style="list-style-type: none"> <li>Global Obstetrics and Gynecology Care (professional services for pre through postnatal care)</li> </ul> | Preauthorization Required<br>(a global authorization is entered upon notification of a pregnancy and includes 3 routine sonograms) |
| <ul style="list-style-type: none"> <li>Inpatient Hospital Services and Birthing Center</li> </ul>  | Preauthorization Required  |
| <ul style="list-style-type: none"> <li>Home Deliveries</li> </ul>  |  |
| <ul style="list-style-type: none"> <li>Breast Pumps (all types)</li> </ul>   |  |
| <ul style="list-style-type: none"> <li>Interruption of Pregnancy</li> </ul>  |  |
| Pain Management Services   | Preauthorization Required  |
| Pre-admission Testing (includes routine testing)   | No Pre-authorization Required  |
| Radiology Services (routine X-Rays and EKG's)  |  |
| <ul style="list-style-type: none"> <li>Performed at a PCP Office</li> </ul>  | No Preauthorization Required<br>(if anesthesia or sedation is used the test requires a prior authorization)                        |
| <ul style="list-style-type: none"> <li>Performed at a Freestanding Center or Specialist Office Setting</li> </ul>                              |  |
| <ul style="list-style-type: none"> <li>Performed at an Outpatient Hospital</li> </ul>  |  |
| Therapeutic Radiology Services   |  |
| <ul style="list-style-type: none"> <li>Performed in a Freestanding Radiology Facility or Specialist Office</li> </ul>                          | Preauthorization Required  |
| <ul style="list-style-type: none"> <li>Performed at an Outpatient Hospital</li> </ul>  |  |
| Rehabilitation Services<br>(Physical Therapy, Occupational Therapy or Speech Therapy)  |  |
| <ul style="list-style-type: none"> <li>Evaluation and Testing</li> </ul>   | No Preauthorization Required   |
| <ul style="list-style-type: none"> <li>Treatment</li> </ul>  | Preauthorization Required  |

# Preauthorization Requirements\* (as of January 1, 2016)

| PROFESSIONAL SERVICES AND OUTPATIENT CARE (continued)   | Authorization Requirement  |
|---|--|
| Second Opinions   | No Preauthorization Required   |
| Surgical Services<br>(including Oral Surgery, Reconstructive Breast Surgery, Other Reconstructive & Corrective Surgery, Transplants, & Interruption of Pregnancy) |  |
| • Inpatient Hospital Surgery  | Preauthorization Required  |
| • Outpatient Hospital Surgery   |  |
| • Surgery Performed at an Ambulatory Surgical Center(ASC)   |  |
| • Office Surgery  | Preauthorization Required<br>for office-based surgeries that require general anesthesia, moderate or deep sedation |

| ADDITIONAL SERVICES/THERAPIES-TREATMENTS   | Authorization Requirement    |
|--|------------------------------|
| ABA Treatment for Autism Spectrum Disorder   |                              |
| • Evaluation and Testing   | No Preauthorization Required |
| • Treatment  | Preauthorization Required    |
| Acupuncture (not covered for all plans; please refer to your specific benefit plan coverage) |                              |
| • Evaluation and Testing   | No Preauthorization Required |
| • Treatment  | Preauthorization Required    |
| Experimental Treatments/Therapies  | Not a Covered Benefit        |
| Clinical Trials  | Preauthorization Required    |

| COMMUNICATION DEVICES  | Authorization Requirement |
|--|---------------------------|
| Augmented Assistive Communication Devices for Autism Spectrum Disorder | Preauthorization Required |

| EQUIPMENT & SUPPLIES                                       | Authorization Requirement  |
|--|--|
| Diabetic Equipment, Supplies & Self-Management Education   |  |
| • Diabetic Equipment, Supplies and Insulin (30-Day Supply) | No Preauthorization Required   |
| • Insulin Pumps & Supplies                                 | Preauthorization Required  |
| • Diabetic Shoes   |  |
| • Diabetic Education                                       | No Preauthorization Required for up to 12 visits annually; Authorization required for visits 13 and up |
| Durable Medical Equipment & Braces                         | Preauthorization Required for Items \$500 and Above<br>(all breast pumps require a preauthorization)   |

## Preauthorization Requirements\* (as of January 1, 2016)

| <b>EQUIPMENT &amp; SUPPLIES</b> (continued) | <b>Authorization Requirement</b> |
|---|----------------------------------|
| External Hearing Aids (all ages)            | Preauthorization Required        |
| Cochlear Implants (all ages)                | Preauthorization Required        |
| <b>Hospice Care</b>                         |                                  |
| • Inpatient                                 | Preauthorization Required        |
| • Outpatient                                |                                  |
| Durable Medical Supplies                    | No Preauthorization Required     |
| Prosthetic Devices – External               | Preauthorization Required        |

| <b>INPATIENT ADMISSIONS</b>                            | <b>Authorization Requirement</b>   |
|--|--|
| Inpatient Hospitalization for a Continuous Confinement | Preauthorization Required<br>(notification of admission is required within 1 business day of an admission to an acute care facility) |
| Observation Stay                                       | No Preauthorization Required / Notification Required   |
| Skilled Nursing Facility (SNF)                         | Preauthorization Required  |
| Acute Inpatient Rehabilitation Services                | Preauthorization Required  |

| <b>MENTAL HEALTH &amp; SUBSTANCE ABUSE</b>                  | <b>Authorization Requirement</b>   |
|---|--|
| Inpatient Mental Health Care (continuous confinement)       | Preauthorization Required  |
| Partial Day Hospitalization & Intensive Outpatient Programs | Preauthorization Required  |
| Inpatient Substance Abuse (continuous confinement)          | Preauthorization Required  |
| Outpatient Mental Health Services                           | No Preauthorization Required<br>(outpatient therapies such as ECT requires a preauthorization) |
| Outpatient Substance Abuse Services                         | No Preauthorization Required   |

| <b>PRESCRIPTION DRUGS</b>  | <b>Authorization Requirement</b>            |
|--|---|
| <b>Retail Pharmacy - 30 Day Supply</b>                               |   |
| • Tier 1   | Preauthorization Required for certain drugs |
| • Tier 2   |   |
| • Tier 3   |   |
| <b>Retail Pharmacy - Up to a 90 Day Supply For Maintenance Drugs</b> |   |
| • Tier 1   | Preauthorization Required for certain drugs |
| • Tier 2   |   |
| • Tier 3   |   |

# Preauthorization Requirements\* (as of January 1, 2016)

| PRESCRIPTION DRUGS                          | Authorization Requirement                   |
|---|---|
| Mail Order Pharmacy - Up to a 90 Day Supply |   |
| • Tier 1                                    | Preauthorization Required for certain drugs |
| • Tier 2                                    |   |
| • Tier 3                                    |   |

| PEDIATRIC DENTAL & VISION CARE                | Authorization Requirement    |
|---|------------------------------|
| Pediatric Dental Care                         |                              |
| • Preventive/Routine Dental Care              | No Preauthorization Required |
| • Major Dental (Endodontics & Prosthodontics) |                              |
| • Orthodontia                                 | Preauthorization Required    |
| Pediatric Vision Care                         |                              |
| • Exams                                       | No Preauthorization Required |
| • Lenses & Frames                             |                              |
| • Contact Lenses                              |                              |

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- Provides free aids and services to people with disabilities to communicate effectively with us, such as:
  - Qualified sign language interpreters
  - Written information in other formats (large print, audio, accessible electronic formats, other formats)
- Provides free language services to people whose primary language is not English, such as:
  - Qualified interpreters
  - Information written in other languages

If you need these services, contact CareConnect’s Senior Director, Quality Improvement.

If you believe that CareConnect has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, or sex, you can file a grievance with:

CareConnect  
Senior Director, Quality Improvement  
2200 Northern Blvd., Suite 104, East Hills, NY 11548  
Phone: 855-706-7545  
TTY: 855-226-7318  
Fax: 844-447-2525  
Email: [CareConnectAppeals@nsljcc.com](mailto:CareConnectAppeals@nsljcc.com)

You can file a grievance in person or by mail, fax, or email. If you need help filing a grievance, the Senior Director, Quality Improvement is available to help you.

You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at <https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>, or by mail or phone at:

U.S. Department of Health and Human Services  
200 Independence Avenue, SW  
Room 509F, HHH Building, Washington, D.C. 20201  
1-800-368-1019, 800-537-7697 (TDD)

Complaint forms are available at <http://www.hhs.gov/ocr/office/file/index.html>.

**ATTENTION:** If you speak English, language assistance services, free of charge, are available to you. Call 1-855-226-7318 (TTY: 711).

**ATENCIÓN:** si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 1-855-226-7318 (TTY: 711).

注意:如果您使用繁體中文,您可以免費獲得語言援助服務。請致電 1-855-226-7318 (TTY: 711)。

**ВНИМАНИЕ:** Если вы говорите на русском языке, то вам доступны бесплатные услуги перевода. Звоните 1-855-226-7318 (TTY: 711).

**ATANSYON:** Si w pale Kreyòl Ayisyen, gen sèvis èd pou lang ki disponib gratis pou ou. Rele 1-855-226-7318 (TTY: 711).

주의: 한국어를 사용하시는 경우, 언어 지원 서비스를 무료로 이용하실 수 있습니다. 1-855-226-7318 (TTY: 711) 번으로 전화해 주십시오.

**ATTENZIONE:** In caso la lingua parlata sia l'italiano, sono disponibili servizi di assistenza linguistica gratuiti. Chiamare il numero 1-855-226-7318 (TTY: 711).

אויפמערקזאם: אויב איר רעדט אידיש, זענען פארהאן פאר אייך שפראך הילף סערוויסעס פריי פון אפצאל. רופט 1-855-226-7318 (TTY: 711).

লক্ষ্য করুন: যদি আপনি বাংলা, কথা বলতে পারেন, তাহলে নিঃখরচায় ভাষা সহায়তা পরিষেবা উপলব্ধ আছে। ফোন করুন 1-855-226-7318 (TTY: 711)।

**UWAGA:** Jeżeli mówisz po polsku, możesz skorzystać z bezpłatnej pomocy językowej. Zadzwoń pod numer 1-855-226-7318 (TTY: 711).

ملحوظة: إذا كنت تتحدث اذكر اللغة، فإن خدمات المساعدة اللغوية تتوافر لك بالمجان. اتصل برقم 1-855-226-7318 (رقم هاتف الصم والبكم: 711).

**ATTENTION:** Si vous parlez français, des services d'aide linguistique vous sont proposés gratuitement. Appelez le 1-855-226-7318 (TTY: 711).

خبردار: اگر آپ اردو بولتے ہیں، تو آپ کو زبان کی مدد کی خدمات مفت میں دستیاب ہیں - کال کریں 1-855-226-7318 (TTY: 711)۔

**PAUNAWA:** Kung nagsasalita ka ng Tagalog, maaari kang gumamit ng mga serbisyo ng tulong sa wika nang walang bayad. Tumawag sa 1-855-226-7318 (TTY: 711).

**ΠΡΟΣΟΧΗ:** Αν μιλάτε ελληνικά, στη διάθεσή σας βρίσκονται υπηρεσίες γλωσσικής υποστήριξης, οι οποίες παρέχονται δωρεάν. Καλέστε 1-855-226-7318 (TTY: 711).

**KUJDES:** Nëse flitni shqip, për ju ka në dispozicion shërbime të asistencës gjuhësore, pa pagesë. Telefononi në 1-855-226-7318 (TTY: 711).